

# Theory of Computation

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# Introduction

# In this course you will learn

## 1. Computation models

- Finite automaton
- Push-down automaton
- Turing machine

[ Imitation Game ]

Alan Turing

## 2. Computability

- Decidable
- Undecidable

## 3. Complexity: P and NP

# In this course you will learn

## 1. Computation models

- Finite automaton

e.g. text, numbers, variable names: `x=0.1`

- Push-down automaton

e.g. grammar of programming languages: `begin...end`

- Turing machine

= real computer

# In this course you will learn

## 2. Computability

What problems can be solved, or cannot be solved?

- Decidable

e.g. Given a map of JR routes in Osaka, determine if one can go from Sugimotocho to Morinomiya.

- Undecidable

e.g. Given a program, determine if it always terminates.

# In this course you will learn

## 3. Complexity

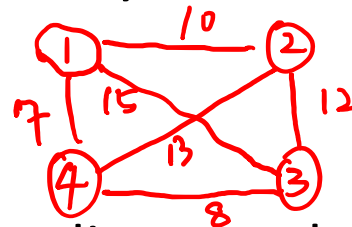
What problems can be solved fast, or slow?

- P

e.g. Given a map of JR routes in Osaka, determine if one can go from Sugimotocho to Morinomiya.

- NP

$$2^n$$



e.g. Given a list of cities and the distances between each pair of cities, find the *shortest* route that visits each city once and returns to the origin city (travelling salesman problem).

# In this course you will learn

## 3. Complexity

\$1M “Millennium Problem”:

Is  $P = NP$ ?

# Math training

In this course you will exercise many maths:

- Set
- Logic
- Proof



# Course website

<https://www.control.eng.osaka-cu.ac.jp/teaching/compute2019>

Cellphone

# Finite Automaton

# “AUTOMATON” = “SELF-MOVER”

Homer's *Iliad* - 18, lines 373-377



Twenty **tripods** [Hephaistos] crafted, to stand around ... his house. At the base of each he placed golden wheels, so these **self-movers** [*hoi automatoi*] might enter the divine assembly, and return back to the house, a wonder to behold!



- Computation theory begins with this question:  
What is a good math model for a computer?
- We will introduce several computational models, with different features
- We begin with the simplest and important one:  
finite automaton

# Example: automatic door



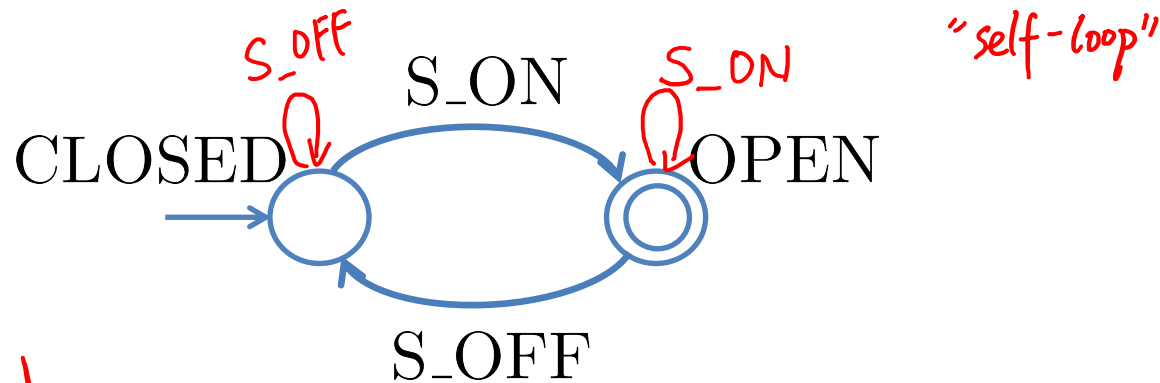
Door has 2 states: CLOSED, OPEN

There are 2 conditions (or events):

- 1) S\_ON: sensor detects a person
- 2) S\_OFF: no person is detected

# Example: automatic door

Design a state transition diagram for the door:



"self-loop"

Initial State: CLOSED  $\rightarrow \bigcirc$

Accept (Marker) State: OPEN  $\bigcirc$

initial & accept state  $\rightarrow \bigcirc$

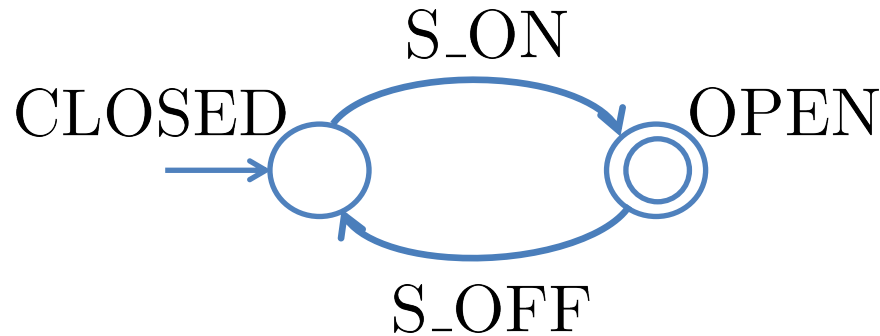
1-step transition: e.g. (CLOSED, S\_ON)=OPEN

2-step transition: e.g. (OPEN, S\_OFF.S\_ON)=OPEN

k-step transition: e.g. (CLOSED, S\_ON.S\_OFF.S\_ON)=OPEN

# Example: automatic door

Design a state transition diagram for the door:



This is a simple computer, with just 1-bit memory.  
This is called a finite automaton.

# Other examples

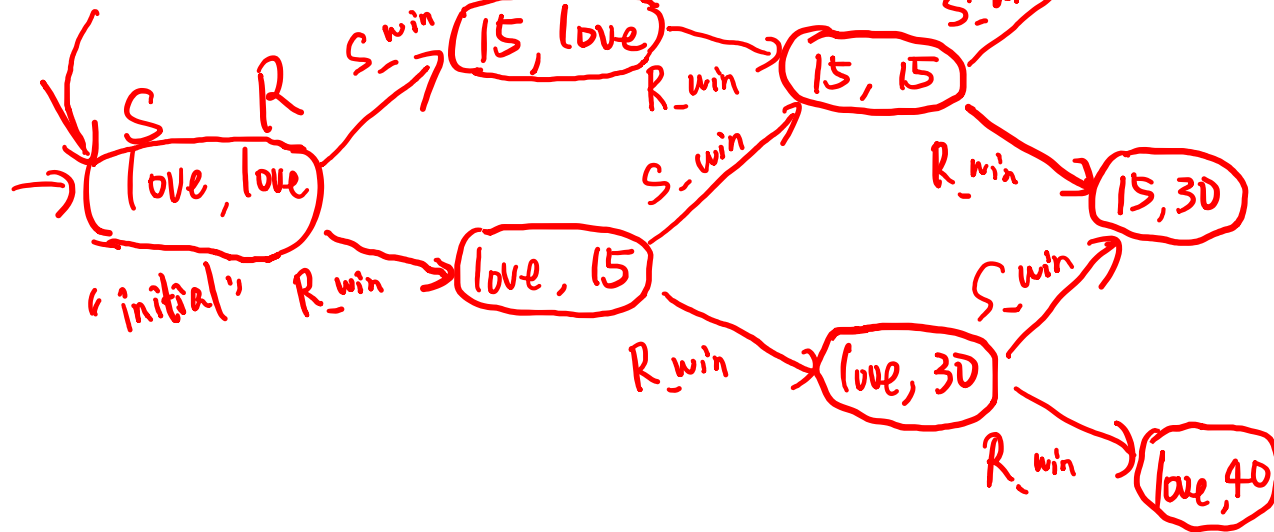
Coffee machines

Vending machines

Elevators

Tennis scores

...





# Aside: Set

A *set* is a collection of objects.

e.g.  $S = \{a, b, c\}$

$a$  is called an *element* of  $S$ :  $a \in S$

the *size* of  $S$  is the number of its elements:  $|S| = 3$

$S$  is a *finite* set if  $|S|$  is finite

e.g.  $T = \{x \mid x > 0 \ \& \ x \text{ is even}\}$

$24 \in T$ , but  $25 \notin T$

$T$  is an *infinite* set if  $|T| = \infty$

# Aside: Set

Let  $S$  be a set.

A *subset* of  $S$  is a subcollection of elements of  $S$ .

e.g.  $S = \{a, b, c\}$

$\{a, b\} \subseteq S, \{a\} \subseteq S$

e.g.  $T = \{x \mid x > 0 \ \& \ x \text{ is even}\}$

$\{2, 222, 22222\} \subseteq T$

Two special subsets:  $\emptyset \subseteq S, S \subseteq S$  (always)

# Aside: Cartesian Product

$S, T$

Let  $S, T$  be two sets.

The *Cartesian product* of  $S, T$  is a set of pairs of elements:

$$S \times T = \{(s, t) \mid s \in S \ \& \ t \in T\}$$

e.g.  $S = \{a, b, c\}$

$$T = \{x \mid x > 0 \ \& \ x \text{ is even}\}$$

$$S \times T = \{(a, 2), (b, 6), (a, 8), \dots\}$$

# Aside: Cartesian Product

Let  $S, T$  be two sets.

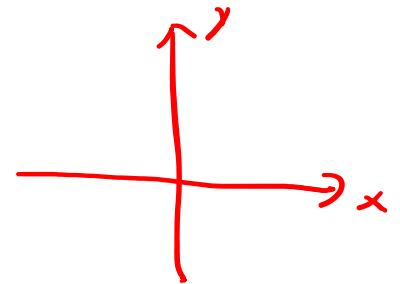
The *Cartesian product* of  $S, T$  is a set of pairs of elements:

$$S \times T = \{(s, t) \mid s \in S \ \& \ t \in T\}$$

e.g.  $S = \{x \mid x \in \mathbb{R}\}$

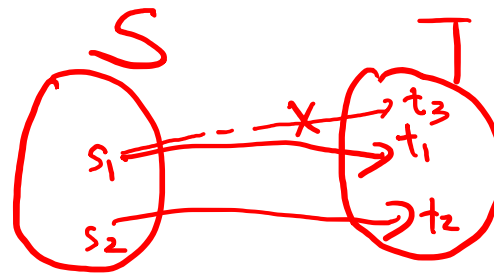
$$T = \{y \mid y \in \mathbb{R}\}$$

$$S \times T = \mathbb{R}^2 = \{(x, y) \mid x, y \in \mathbb{R}\}$$



# Aside: Function

*function*



A *function*  $f : S \rightarrow T$  is a mapping that assigns each element  $s \in S$  a unique element  $t \in T$

i.e.  ~~$f(s) = t$~~        $f(s) = t$

Call  $S$  *domain*, and  $T$  *codomain* of function  $f$

e.g.  $S = \{a, b, c\}$

$T = \{x \mid x > 0 \ \& \ x \text{ is even}\}$

$f : S \rightarrow T$

$f(a) = 2$

$f(b) = 22$

$f(c) = 222$

# Aside: Function

A *function*  $f : S \rightarrow T$  is a mapping that assigns each element  $s \in S$  a unique element  $t \in T$   
i.e.  $f(s) = t$

Call  $S$  *domain*, and  $T$  *codomain* of function  $f$

e.g.  $S = \{a, b, c\}$

$$T = \{x \mid x > 0 \text{ \& } x \text{ is even}\}$$

$$f : \underbrace{S \times T}_{\text{domain}} \rightarrow \underbrace{\{0, 1\}}_{\text{codomain}}$$

$$\cancel{f(a, 2)}$$

$$f(a, 2) = 0$$

$$f(b, 20) = 1$$

# Finite automaton

A ~~finite~~ <sup>finite</sup> automaton  $\mathbf{G}$  is a five tuple  
 $\mathbf{G} = (Q, \Sigma, \delta, q_0, Q_a)$ , where

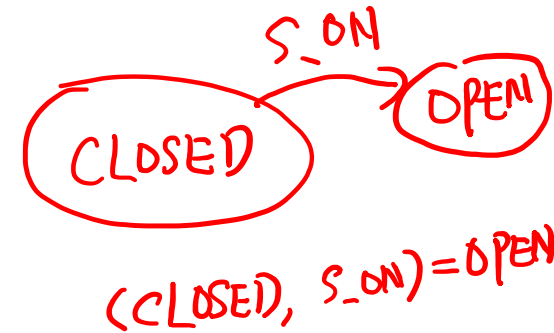
$Q$ : state set; a finite set of states

$\Sigma$ : alphabet; a finite set of symbols

$\delta: Q \times \Sigma \rightarrow Q$ : state transition function

$q_0 \in Q$ : initial state

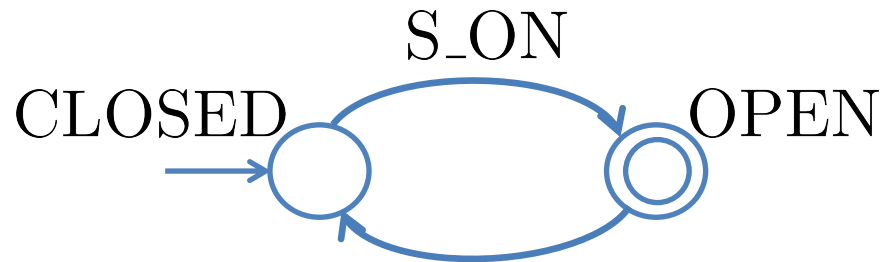
$Q_a \subseteq Q$ : subset of accept states



$$\delta(\text{CLOSED}, S\_ON) = \text{OPEN}$$

$$q \in Q \xrightarrow{\sigma \in \Sigma} q' \in Q ; \delta(q, \sigma) = q'$$

# Example: automatic door



①  $Q = \{ \text{CLOSED}, \text{OPEN} \}$

②  $\bar{Z} = \{ \text{S\_ON}, \text{S\_OFF} \}$

③  $\delta(\text{CLOSED}, \text{S\_ON}) = \text{OPEN}; \delta(\text{OPEN}, \text{S\_OFF}) = \text{CLOSED}$

$\delta: Q \times \bar{Z} \rightarrow Q$

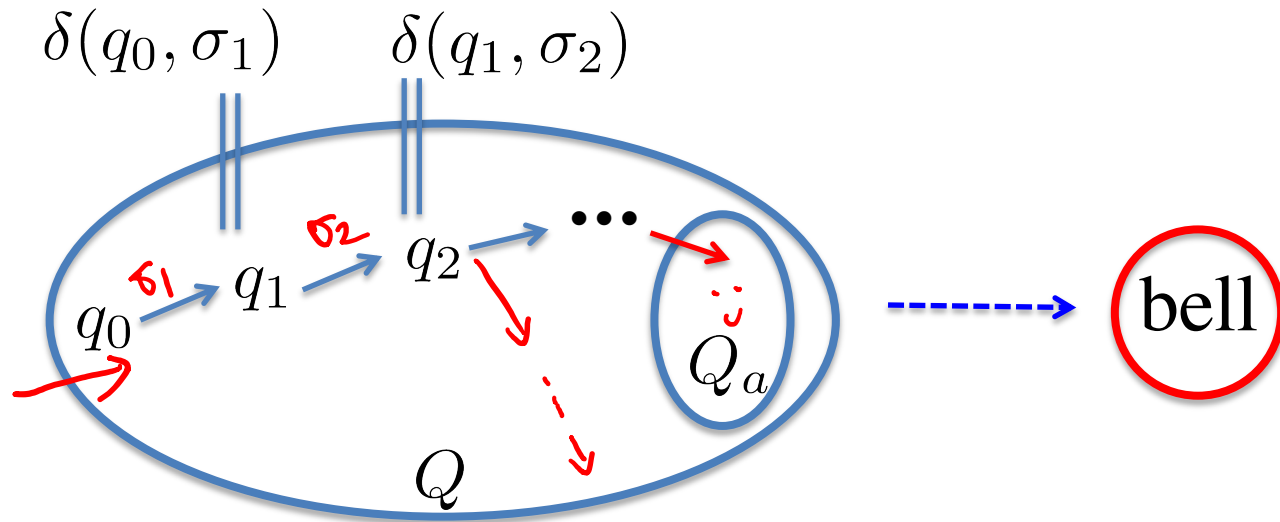
④  $q_0 = \text{CLOSED}$

⑤  $Q_a = \{ \text{OPEN} \}$



# How does a finite automaton work

A finite automaton  $\mathbf{G} = (Q, \Sigma, \delta, q_0, Q_a)$



Internal state  
transitions

Beeps when a  
transition enters  
an accept state