

# Computability

# Key question

Are there problems that cannot be solved by a computer?  
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David Hilbert's 1900 problem:

Given a polynomial on multiple variables (e.g.  $4xy^2 - 5x^3 + 1$ ),  
test if the polynomial has an “integral” root.

# Definition of algorithm

Algorithm (or procedure/recipe): a collection of steps to carry out some computation task

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1936: Alan Turing defined an algorithm as a Turing-machine

1936: Alonzo Church defined an algorithm as  $\lambda$ -calculus

These two definitions turn out equivalent:

“Church-Turing thesis”

# Algorithm

Algorithm (intuition)  $\Leftrightarrow$  Turing machine (math)

Does there exist an algorithm that solves a problem?

Problem  $P \longrightarrow$  language  $L$

Algorithm solves  $P \longrightarrow$  TM decides  $L$

(not enough: TM recognizes  $L$ )

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This is the topic of “computability”:

focus on computation models

$\longrightarrow$  focus on using TM to study algorithms

From now on, we use “high-level description” of TM

# Example of high-level TM

Consider  $L = \{w\#w \mid w \in \{0, 1\}^*\}$

The following Turing machine decides  $L$ :

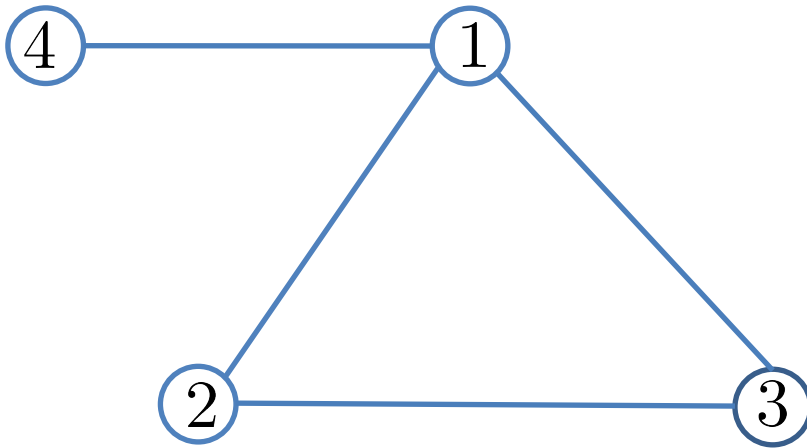
$M =$  “On input string  $s$ :

- 1) Zig-zag across tape to corresponding positions on both sides of  $\#$ , and check if these positions contain the same symbols. If they do not or if no  $\#$  found, then reject. Cross off symbols that are checked.
- 2) When all symbols to the left of  $\#$  are crossed off, check for any remaining symbols to the right of  $\#$ . If any symbols remain, then reject. Otherwise, accept.”



# Example of high-level TM

An undirected graph  $\mathcal{G} = (\mathcal{V}, \mathcal{E})$  consists of a set  $\mathcal{V}$  of *nodes* and a set of  $\mathcal{E}$  of *edges*



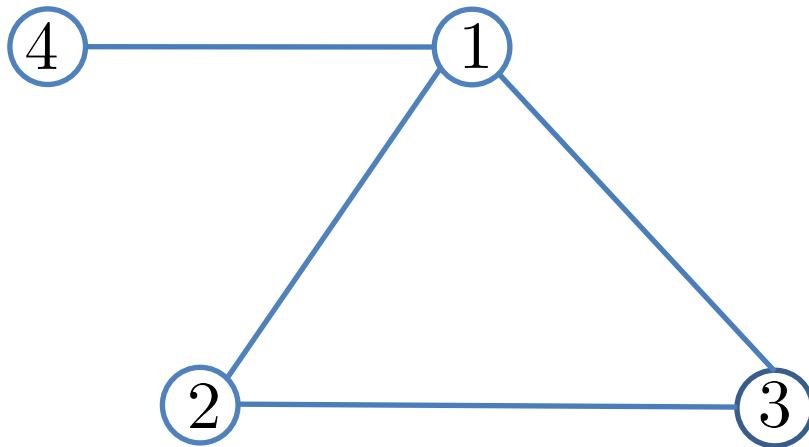
$$\mathcal{G} = (\mathcal{V}, \mathcal{E})$$

$$\mathcal{V} = \{0, 1, 2, 3\}$$

$$\mathcal{E} = \{(1, 2), (2, 3), (3, 1), (1, 4)\}$$

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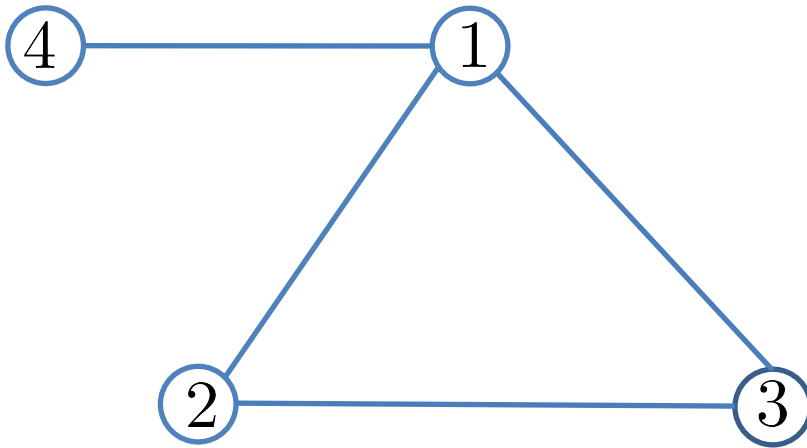
$$\mathcal{E} = \{(1, 2), (2, 3), (3, 1), (1, 4)\}$$

Write  $\langle \mathcal{G} \rangle$  for the string that encodes graph  $\mathcal{G}$

e.g.  $\langle \mathcal{G} \rangle = (1, 2, 3, 4)((1, 2), (2, 3), (3, 1), (1, 4))$

# Example of high-level TM

$\mathcal{G}$  is *connected* if every node can reach every other node by a sequence of edges



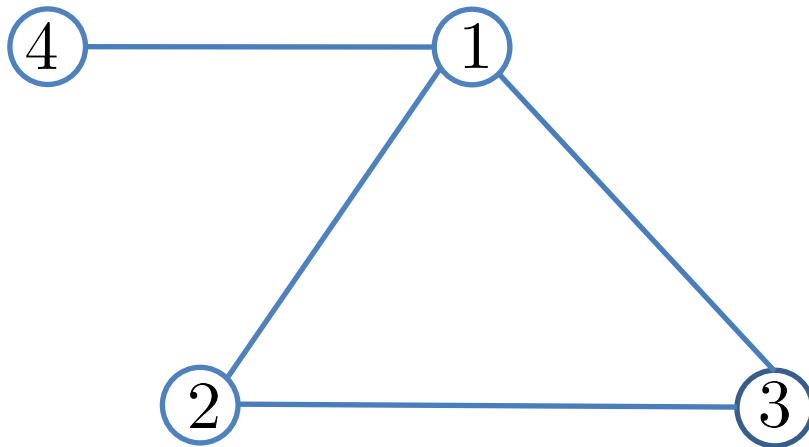
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Let  $L$  be the language consisting of all strings representing **undirected connected graphs**

Then  $L := \{ \langle \mathcal{G} \rangle \mid \mathcal{G} \text{ is an undirected connected graph} \}$

# Example of high-level TM

Consider  $L := \{ \langle \mathcal{G} \rangle \mid \mathcal{G} \text{ is an undirected connected graph} \}$

Design a TM that decides  $L$

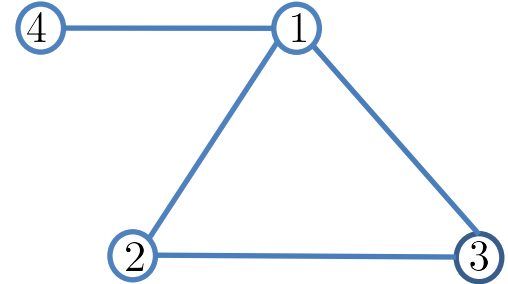
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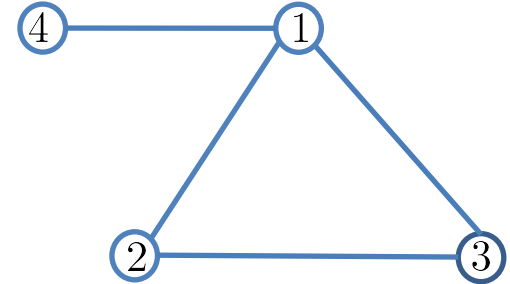
1) Select the first node and mark it.



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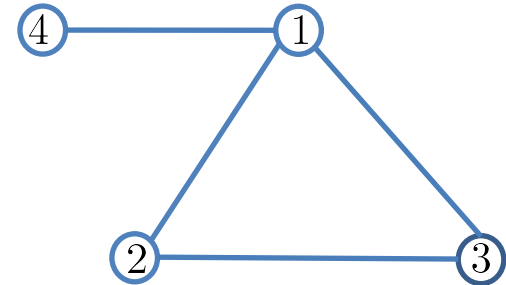
2) From a marked node  $v$ , select an unmarked node  $v'$  that is connected to  $v$  and mark  $v'$ .

Repeat this step until no unmarked nodes can be selected.

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**M** = “On input string  $\langle \mathcal{G} \rangle$ :

1) Select the first node and mark it.

2) From a marked node  $v$ , select an unmarked node  $v'$  that is connected to  $v$  and mark  $v'$ .

Repeat this step until no unmarked nodes can be selected.

3) Scan all nodes to determine if they are all marked.

If so, accept. Otherwise, reject.”



# Example of high-level TM

So  $L := \{ \langle \mathcal{G} \rangle \mid \mathcal{G} \text{ is an undirected connected graph} \}$   
is a Turing-decidable language

Problem: given an undirected graph, test if the graph is connected.

Problem  $P \longrightarrow$  language  $L$

Algorithm solves  $P \longrightarrow$  TM decides  $L$

# Example of high-level TM

Are there any problems that are not algorithmically solvable?

David Hilbert's 1900 problem:

Given a polynomial on multiple variables (e.g.  $4xy^2 - 5x^3 + 1$ ), test if the polynomial has an “integral” root.

# Example of high-level TM

Are there any problems that are not algorithmically solvable?

David Hilbert's 1900 problem:

Given a polynomial on multiple variables (e.g.  $4xy^2 - 5x^3 + 1$ ), test if the polynomial has an “integral” root.

$$\langle p \rangle = (4xyy - 5xxx + 1)$$

$$L := \{ \langle p \rangle \mid p \text{ has an integral root} \}$$

**M** = “On input string  $\langle p \rangle$ :

- 1) Evaluate  $p$  with  $x, y$  set respectively to integers  $0, 1, -1, 2, -2, \dots$ . If  $p$  is ever evaluated to 0, accept.”

Note **M** only recognizes  $L$ , but does not decide  $L$

# Decidability

# Decidability & undecidability

Objective: explore the limits of algorithmic solvability and identify problems that **can be** solved algorithmically, while others **cannot be**

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Why study “unsolvability”?

Useful in the sense: you know the problem must be simplified or altered before a solution can be found.

**You don't need to waste more time** on the original problem.

Computer is a tool after all.

Like any tool, computer has limitations.

# Decidable problems

Acceptance problem for DFA:

Given a DFA  $\mathbf{G} = (Q, \Sigma, \delta, q_0, Q_a)$  and a string  $s \in \Sigma^*$ ,  
test if  $\mathbf{G}$  accepts  $s$

Strategy: represent the problem by a language,  
and show the language is Turing-decidable

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Strategy: represent the problem by a language,  
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Consider  $A_{\text{DFA}} = \{ \langle \mathbf{G}, s \rangle \mid s \in L_a(\mathbf{G}) \}$

$\langle \mathbf{G}, s \rangle$  is the string that encodes DFA  $\mathbf{G}$  and string  $s$

Design a TM that decides  $A_{\text{DFA}}$



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$\mathbf{M}_{\text{DFA}} =$  “On input string  $\langle \mathbf{G}, s \rangle$ :

- 1) Run  $s$  on  $\mathbf{G}$  from the initial state  $q_0$
- 2) If the run ends at an accept state in  $Q_a$ , accept.  
Otherwise, reject.”

# Decidable problems

Acceptance problem for **NFA**:

Given a NFA  $\mathbf{G} = (Q, \Sigma, \delta, q_0, Q_a)$  and a string  $s \in \Sigma^*$ ,  
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# Decidable problems

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Consider  $A_{\text{NFA}} = \{ \langle \mathbf{G}, s \rangle \mid s \in L_a(\mathbf{G}) \}$

$\mathbf{M}_{\text{NFA}} =$  “On input string  $\langle \mathbf{G}, s \rangle$ :

- 1) Convert  $\mathbf{G}$  to an equivalent DFA  $\mathbf{G}'$
- 2) Run TM (decider)  $\mathbf{M}_{\text{DFA}}$  on input  $\langle \mathbf{G}', s \rangle$
- 3) If  $\mathbf{M}_{\text{DFA}}$  accepts, accept. Otherwise, reject.”

( $\mathbf{M}_{\text{NFA}}$  uses  $\mathbf{M}_{\text{DFA}}$  as a *subprocedure*)

# Decidable problems

Acceptance problem for **regular expression**:

Given a regular expression  $R$  and a string  $s \in \Sigma^*$ ,  
test if  $R$  generates  $s$

Consider  $A_{RE} = \{ \langle R, s \rangle \mid s \in L(R) \}$

$M_{RE} =$  “On input string  $\langle R, s \rangle$ :

- 1) Convert  $R$  to an equivalent NFA  $\mathbf{G}$
- 2) Run TM (decider)  $M_{NFA}$  on input  $\langle \mathbf{G}, s \rangle$
- 3) If  $M_{NFA}$  accepts, accept. Otherwise, reject.”

( $M_{RE}$  uses  $M_{NFA}$  as a *subprocedure*)

# Decidable problems

Emptiness testing problem for DFA:

Given a DFA  $\mathbf{G} = (Q, \Sigma, \delta, q_0, Q_a)$ ,  
test if  $\mathbf{G}$  accepts no string, i.e.  $L_a(\mathbf{G}) = \emptyset$

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Consider  $E_{\text{DFA}} = \{ \langle \mathbf{G} \rangle \mid L_a(\mathbf{G}) = \emptyset \}$

Design a TM that decides  $E_{\text{DFA}}$

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Design a TM that decides  $E_{\text{DFA}}$

$\mathbf{M}_{\text{DFA}} =$  “On input string  $\langle \mathbf{G} \rangle$ :

- 1) Mark the initial state  $q_0$
- 2) From a marked state  $q$ , select an unmarked state  $q'$   
s.t.  $q' = \delta(q, \sigma)$  for some  $\sigma$  and mark  $q'$ .  
Repeat this step until no unmarked states can be selected.
- 3) If no accept state is marked, accept. Otherwise, reject.”

# Recap

Acceptance problem for DFA (NFA, regular expression)  
is solvable

Emptiness testing problem for DFA (NFA, regular expression)  
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Fact:

Every regular language is decidable

Every context-free language is decidable